Effect of Waxy Maize-derived Hydroxyethyl Starch 130/0.4 on Renal Function in Surgical Patients

Claude Martin, M.D.,* Matthias Jacob, M.D.,† Eric Vicaut, M.D.,‡ Bertrand Guidet, M.D.,§ Hugo Van Aken, M.D., Ph.D.,‖ Andrea Kurz, M.D.#

ABSTRACT

Background: The aim of this meta-analysis was to evaluate renal safety with the active substance of the latest generation of waxy maize-derived hydroxyethyl starch in surgical patients. The authors focused on prospective, randomized, controlled studies that documented clinically relevant variables with regard to renal effects of waxy maize-derived hydroxyethyl starch 130/0.40.

Materials and methods: The authors carefully searched for all available prospective, randomized studies and evaluated the greatest delta from baseline values in renal safety variables.

What We Already Know about This Topic

• The use of hydroxyethyl starches has been associated with nephrotoxicity and increase in mortality in the critically ill
• The renal safety of modern hydroxyethyl starches 130/0.40 in nonseptic surgical patients remains unclear

What This Article Tells Us That Is New

• In a meta-analysis of 17 randomized studies (n = 1,230) evaluating renal safety of waxy maize-derived hydroxyethyl starches 130/0.40 in surgical patients no evidence for renal dysfunction was observed

Hydroxyethyl starches (HES) are colloidal solutions used for prevention and treatment of hypovolemia. During the past decades, the molecular weight and molar substitution (proportion of hydroxyethylated glucose subunits) of these molecules have been optimized, leading to an average molecular weight of approximately 130 kDa and a molar substitution of approximately 0.4. Between the different generations of starches there are clear clinical differences in terms of coagulation effects5–6 or effects on renal function.7,8 Nevertheless, it has recently been suggested to exclude starches from volume resuscitation in the critically ill patient.9 This led to great uncertainty about general use of...

◆ This article is accompanied by an Editorial View. Please see: Bagchi A, Eikermann M: Mashed potatoes and maize: Are the starches safe? ANESTHESIOLOGY 2013; 118:244–7.

Footnotes to Table: dryer?
HES, especially in European countries where many clinicians routinely use HES preparations to stabilize cardiac preload.

The clinical trials that have raised concerns about the renal safety of HES\textsuperscript{10–14} showed a higher frequency of acute renal failure (ARF) and some even higher mortality in critically ill patients, using different isotonic and hypertonic HES preparations. A retrospective trial\textsuperscript{15} and two prospective randomized studies\textsuperscript{16,17} performed with waxy maize-derived HES 130/0.40 in intensive care unit patients found no significant signs of renal dysfunction or differences in mortality.

Several reviews and meta-analyses have addressed the safety of HES before. But first, most analyses did not usually take into account different HES generations and the raw material.\textsuperscript{1,18}

Second, within the latest meta-analyses\textsuperscript{19–21} two also focused on HES 130 but did not differentiate between the products derived from waxy maize and potato.\textsuperscript{20,21} Also, they included surgical patients and/or critically ill or septic patients. Currently, many small studies in surgery supporting HES 130/0.4 face a small number of relatively large studies in critically ill patients, which showed negative effects. Thus, one might argue that surgical studies were just underpowered to show the adverse effects observed in the critically ill. To test this hypothesis, the current meta-analysis evaluates renal safety with the most modern HES 130/0.40 derived from waxy maize in nonseptic, surgical patients.

We evaluated studies that reported renal effects of waxy maize-derived HES 130/0.40. Furthermore, we included only prospective, randomized interventional studies and analyzed the largest changes from baseline values in renal safety variables within these studies.

Materials and Methods

Eligibility Criteria

We selected only prospective, randomized controlled trials and included all available surgical procedures to achieve as much generalizability of our results as possible.

Inclusion criteria for eligible studies were:

1) The use of waxy maize-derived HES 130/0.40, the latest (third) generation starches, in at least one intervention group. Due to the heavy imbalance in study evidence and proven differences of the products\textsuperscript{22–24} we refrained from including data about HES 130/0.42.

2) Reporting on one of the following variables as primary endpoint, secondary endpoint or safety data:

3) Blood urea, serum creatinine, calculated creatinine clearance, glomerular filtration rate, \(\alpha_1\)-microglobulin, neutrophil gelatinase-associated lipocalin, N-acetyl-\(\beta\)-(D)-glucosaminidase, Risk, Injury, Failure, Loss, End stage kidney disease classification\textsuperscript{25}, Acute Kidney Injury Network classification, or ARF.

4) The use of a colloidal or crystalloidal solution other than HES 130/0.40 in one intervention group of the study as a control. Studies conducted exclusively in septic or critically ill patients were excluded.

Search Strategy

We searched PubMed for studies with the following terms in all fields: HES 130, HES 130/0.4, and one of the terms “creatinine,” “renal function,” “renal failure,” or “renal replacement therapy.” Because many randomized, controlled trials might not be listed in common databases,\textsuperscript{26} we performed an additional manual search via the Fresenius Kabi study tracking system, using the same search terms. This approach yielded 10 further studies. All studies found in addition to the initial search were also listed in PubMed.**

Study Selection and Data Extraction

The selection criteria mentioned above were developed and studies screened by all authors. The inclusion and exclusion criteria for retrieved studies were \textit{a priori} jointly discussed and agreed upon. The study flow diagram is shown in figure 1. The initial search via PubMed resulted in 48 hits. A manual search using the Fresenius Kabi tracking system yielded 10 additional studies.

Thirty-four publications had to be excluded as they were conducted on critically ill patients (e.g., sepsis, trauma, \(n = 6\)), review articles (\(n = 7\)), experimental studies (\(n = 5\)) (e.g., using MP\(\text{\textsuperscript{4}}\)OX, which is an experimental drug with an unknown safety profile [\(n = 2\)],\textsuperscript{27,28} retrospective or observational without control group (\(n = 10\)), or without adequate control group (comparison of 2 HES 130/0.4) (\(n = 2\)).\textsuperscript{29,30} In addition, we excluded studies in kidney transplant patients (\(n = 2\)),\textsuperscript{21} because effects of kidney transplantation on creatinine will very likely mask any effects of HES as creatinine values typically improve after a transplant. Thus, we avoided introducing a falsely positive signal for HES by excluding these studies. We also excluded a nonretracted study published by Boldt\textsuperscript{32} due to the retraction of nearly all other relevant studies from this author. For an overview of all included studies and numbers of patients see table 1.

Data were extracted from the individual studies and, in addition to the variables mentioned above, intensive care unit length of stay, hospital length of stay, and mortality were recorded, if available. For renal function, we extracted baseline values for each variable as well as the highest or lowest value after HES administration. This indicated the greatest impact on renal function, independent of the point in time it had been recorded.

Calculated creatinine clearance was directly measured in two studies\textsuperscript{33,34} but not specified in the others. Thus, we expect that most of the data presented are calculated or estimated creatinine clearances.

ARF was defined according to Risk, Injury, Failure, Loss, End stage kidney disease criteria\textsuperscript{25} when available. In case Risk, Injury, Failure, Loss, End stage kidney disease classification was not reported, the definition of ARF was considered according
than one control group, the respective data were pooled (weighted estimate). Two studies did not provide a baseline value for serum creatinine or blood urea. Nevertheless, the bias indicators for serum creatinine extreme values were −0.099 (0.5906) for Kendall tau (Begg-Mazumdar) and 0.735 (95% CI = −5.395 to 3.925); P = 0.74 for the Egger bias indicator. We found no significant difference for the effect of waxy maize-derived HES 130/0.40 on serum creatinine as compared with the respective controls for baseline (pooled d+ = −0.021 [95% CI = −0.261 to 0.219], P = 0.86; P = 68.5% [95% CI = 35.8 to 80.9%]) and for extreme values (pooled d+ = 0.068 [95% CI = −0.227 to 0.362], P = 0.65, P = 79.8% [95% CI = 65.2 to 86.6%]) (fig. 2, A and B). Two studies differed in their results: for Tiryakioğlu et al., the HES group showed significantly higher serum creatinine values 24 h after the procedure (97 ± 9 to 124 ± 21 µmol/l). In Gallandat-Huet et al., the serum creatinine concentration did not differ significantly between the study groups. Yet it increased slightly in the HES 130 group (96 ± 14 to 109 ± 17 µmol/l), whereas it decreased in the HES 200 control (98 ± 14 to 94 ± 21 µmol/l). In terms of ARF (n = 701, fig. 3), none of the selected studies showed a significant difference in risk. The pooled risk difference for random effects was 0.0003 (95% CI = −0.018 to 0.019), P = 0.98, I² = 0% (95% CI = 0–56.3%). We did not find significant differences between HES and control groups for calculated creatinine clearance (n = 344), urea (n = 390), mortality (n = 834), and the need for renal replacement therapy (n = 531) (table 2). Furthermore, there was no significant difference in intensive care unit or hospital length of stay (n = 723 and 940 respectively, table 2).

**Discussion**

The present meta-analysis on the renal effects of third-generation waxy maize-derived hydroxyethyl starch 130/0.40 shows no evidence for renal impairment caused by this colloidal solution in surgical patients. Only three of the included studies showed a slight increase in serum creatinine to approximately 124 µmol/l. With respect to calculated creatinine clearance, incidence of ARF and mortality, our results showed no significant differences for HES 130/0.40 and the respective comparators. However, especially data with regard to ARF are limited due to a low number of patients with ARF and different to the definition mentioned in the original article. This definition may vary slightly from one publication to another.

**Statistical Analysis**

All values extracted from the individual studies were transformed to mean values and SD. If mean value and SD were not reported, they were estimated from median values and ranges, or interquartile range values. If studies included more than one control group, the respective data were pooled (weighted estimate). Two studies did not provide a baseline value for serum creatinine or blood urea. Nevertheless, the respective highest or lowest values were included in the meta-analysis. Heterogeneity was estimated by the P index proposed by Higgins and Thompson. P values were two-tailed and a P value of less than 0.05 was considered as statistically significant.

**Results**

In total, 17 studies were included in the analysis. These comprised patients undergoing elective surgical procedures like cardiopulmonary bypass, cardiac surgery, other surgical procedures, or liver transplantation. Most studies provided data about serum creatinine or calculated creatinine clearance, whereas other variables like neutrophil gelatinase-associated lipocalin or β-acetyl-β-(D)-glucosaminidase were reported only rarely. The extracted extreme values for serum creatinine occurred on average 2 days after surgery. None of our funnel plots showed significant heterogeneity.
<table>
<thead>
<tr>
<th>Study, Year</th>
<th>N (Total)</th>
<th>Clinical Setting</th>
<th>Comparator</th>
<th>Most Important Renal Parameter</th>
<th>HES 130 BL</th>
<th>HES 130 Worst</th>
<th>Comparator BL</th>
<th>Comparator Worst</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fenger-Eriksen et al., 2005</td>
<td>11</td>
<td>Spine surgery</td>
<td>Isotonic saline</td>
<td>Serum creatinine</td>
<td>73 (54–89)</td>
<td>71 (64–75)</td>
<td>77 (50–98)</td>
<td>65 (51–72)</td>
</tr>
<tr>
<td>Gallandat-Huet et al., 2000</td>
<td>59</td>
<td>Cardiac surgery</td>
<td>HES 200</td>
<td>Serum creatinine</td>
<td>96 ±14</td>
<td>109 ±17</td>
<td>98 ±14</td>
<td>94 ±21</td>
</tr>
<tr>
<td>Godet et al., 2008</td>
<td>65</td>
<td>Vascular surgery</td>
<td>Gelatin solution</td>
<td>Serum creatinine, calculated creatinine clearance</td>
<td>108 ±29</td>
<td>123 ±62</td>
<td>111 ±24</td>
<td>127 ±62</td>
</tr>
<tr>
<td>Harten et al., 2008</td>
<td>29</td>
<td>Abdominal surgery</td>
<td>“Standard care”</td>
<td>Serum creatinine</td>
<td>85 (55–160)</td>
<td>85 (60–150)</td>
<td>100 (70–260)</td>
<td>95 (60–300)</td>
</tr>
<tr>
<td>Ickx et al., 2004</td>
<td>40</td>
<td>Abdominal surgery</td>
<td>HES 200</td>
<td>Serum creatinine</td>
<td>93 ±11</td>
<td>84 ±17</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>Jover et al., 2009</td>
<td>29</td>
<td>Abdominal laparoscopic surgery</td>
<td>Ringer solution</td>
<td>Calculated creatinine clearance</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>Kasper et al., 2003</td>
<td>117</td>
<td>Cardiac surgery</td>
<td>HES 200</td>
<td>Serum creatinine</td>
<td>80 ±18</td>
<td>88 ±35</td>
<td>80 ±18</td>
<td>97 ±53</td>
</tr>
<tr>
<td>Lee et al., 2011</td>
<td>106</td>
<td>Cardiac surgery</td>
<td>Isotonic saline</td>
<td>ARF</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>Mahmood et al., 2007</td>
<td>62</td>
<td>Vascular surgery</td>
<td>Gelatin solution, HES 200</td>
<td>Serum creatinine</td>
<td>96 ±1 (SEM)</td>
<td>95 ±2 (SEM)</td>
<td>101 ±2 (SEM)</td>
<td>138±24 (SEM)</td>
</tr>
<tr>
<td>Mukhtar et al., 2009</td>
<td>40</td>
<td>Liver transplantation</td>
<td>Human albumin</td>
<td>Serum creatinine, calculated creatinine clearance</td>
<td>97 ±9</td>
<td>133 ±31</td>
<td>93 ±18</td>
<td>115 ±34</td>
</tr>
<tr>
<td>Ooi et al., 2009</td>
<td>90</td>
<td>Cardiopulmonary bypass</td>
<td>Gelatin solution</td>
<td>eGFR</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>Shabazi et al., 2011</td>
<td>70</td>
<td>Cardiopulmonary bypass</td>
<td>Ringer solution</td>
<td>Serum creatinine, calculated creatinine clearance</td>
<td>85 ±16</td>
<td>111 ±31</td>
<td>88 ±13</td>
<td>107 ±40</td>
</tr>
<tr>
<td>Tiryakioglu et al., 2008</td>
<td>140</td>
<td>Cardiopulmonary bypass</td>
<td>Ringer solution</td>
<td>Serum creatinine, calculated creatinine clearance</td>
<td>97 ±9</td>
<td>124 ±21</td>
<td>88 ±18</td>
<td>97 ±27</td>
</tr>
<tr>
<td>Van der Linden et al., 2005</td>
<td>132</td>
<td>Cardiac surgery</td>
<td>Gelatin solution</td>
<td>Serum creatinine</td>
<td>93 ±20</td>
<td>88 ±27</td>
<td>96 ±26</td>
<td>103 ±65</td>
</tr>
<tr>
<td>Yang et al., 2011</td>
<td>81</td>
<td>Liver surgery</td>
<td>Ringer solution, human albumin</td>
<td>Serum creatinine</td>
<td>78 ±20</td>
<td>73 ±22</td>
<td>77 ±15</td>
<td>73 ±11</td>
</tr>
<tr>
<td>Yap et al., 2007</td>
<td>40</td>
<td>Cardiopulmonary bypass</td>
<td>Gelatin solution</td>
<td>Serum creatinine</td>
<td>—</td>
<td>96 ±23</td>
<td>—</td>
<td>118 ±51</td>
</tr>
</tbody>
</table>

* Values are expressed as mean ± SD or median (range) if not stated differently (IQR = median + interquartile ranges, SEM = standard error of the mean).
ARF = acute renal failure; BL = baseline; eGFR = estimated glomerular filtration rate; G = gelatin; HA = human albumin; HES = hydroxyethyl starch; RL = Ringer’s lactate.
definitions of ARF among the studies. The results of one study\textsuperscript{48} for calculated creatinine clearance indicated a potentially positive effect of waxy maize-derived HES 130/0.40. However, in this study the clearance of the control group corresponding to the worst value for waxy maize-derived HES 130/0.40 was exceptionally low whereas it increased for the HES group. Additionally, the number of patients in this study was very low; it was only 29. Within the last years, several other authors performed meta-analyses or literature reviews on safety aspects of HES. Unfortunately, no analysis so far has provided a stringent and transparent inclusion of the best available data sets about surgical patients only.

**Fig. 2.** Surgical patients. (A): Serum creatinine baseline values; random effect pooled d+ = −0.021 (95% CI = −0.261 to 0.219), Z (test d+ differs from 0) = −0.172, \(P = 0.86\). (B): Serum creatinine extreme values; random effects (DerSimonian-Laird), pooled d+ = 0.068, (95% CI = −0.227 to 0.362), Z (test d+ differs from 0) = 0.45, \(P = 0.65\). No significant differences were found between extreme values and baseline, d+ effect size = difference; DL = DerSimonian-Laird; FK = Fresenius Kabi; HES = hydroxyethyl starch; N = number of patients.

**Fig. 3.** Risk difference of acute renal failure; random effects (DerSimonian-Laird): Pooled risk difference = 0.000298 (95% CI = −0.018 to 0.019), \(\chi^2\) (test risk difference differs from 0) = 0.000992 (df = 1), \(P = 0.98\). No significant risk difference was found. df = degree of freedom.
A very extensive meta-analysis on HES by Dart et al.\textsuperscript{18} addressed the question of renal safety. Yet, it did not take into account the existence of differences between HES generations and pooled data for all HES preparations, concentrations, and different oncotic properties. It is thus not surprising that this review article—like others before—highlights the negative effects of some very old starches like HES 650. Unfortunately, the authors extend their results to all HES. Another recent analysis by Hartog\textsuperscript{20} also extensively reviewed the literature on HES 130/0.40. However, with regard to renal outcome, the authors considered only a limited number of trials and excluded several others by using criteria that seem to be weakly defined. Most important, data from small trials were classified as "random findings" and, therefore, excluded from the analysis. This seems questionable as the main merit of a meta-analysis or a literature review is its ability to gain evidence from pooling small studies that fulfill basic requirements in study design.

The most recent review article in critically ill patients was published by Gattas et al.\textsuperscript{21} and critically it analyzed whether the recent retraction of studies by Boldt\textsuperscript{34} substantially changed the evidence concerning clinical use of HES 130/0.40. In fact, the authors found that this was not the case. Gattas et al. only considered studies reporting the need for renal replacement therapy and urine output and concluded that there were insufficient data to draw definite conclusions about the renal safety of HES 130/0.40.

Our meta-analysis includes all available randomized controlled trials analyzing waxy maize-derived HES 130/0.40 effects on renal safety in elective surgical patients. We chose serum creatinine as our main outcome as this was available in all studies. Furthermore, monitoring serum creatinine, as well as changes in serum creatinine, has been reported to be a valid and sensitive variable in predicting patient outcome.\textsuperscript{25,51} As with all clinical markers, serum creatinine has inherent limitations that might not reflect small but long-term damages that could become relevant after repeated or very high dose administration of HES.

The present meta-analysis includes the comparison of waxy maize-derived HES 130/0.40 to various control solutions, including products that are known as being safe for renal function like crystalloid solutions. For subanalysis of data comparing waxy maize-derived HES 130/0.40 with, for example, crystalloids or specific colloids, the number of patients is too small to draw meaningful conclusions. The estimates of heterogeneity ($I^2$) between studies may represent substantial heterogeneity, which should be kept in mind when interpreting the data. Given the range of different

### Table 2. Results of the Meta-analysis

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Results (Model: Random Effects (DerSimonian-Laird))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calculated creatinine clearance (n = 344)</td>
<td>Pooled $d_+$ = 0.302 (95% CI = −0.098 to 0.703) Extensive value Pooled $d_+ = 0.783$ (95% CI = −0.229 to 1.795)</td>
</tr>
<tr>
<td>Urea (n = 390)</td>
<td>Baseline $I^2 = 67.8%$ (95% CI = 0% to 85.4) Z (test $d_+$ differs from 0) = 1.482; $P = 0.14$ $I^2 = 93.8%$ (95% CI = 88.9 to 95.9%) Pooled $d_+ = 0.148$ (95% CI = −1.077 to 0.782) Z (test $d_+$ differs from 0) = 0.311; $P = 0.76$</td>
</tr>
<tr>
<td>Renal replacement therapy (n = 531)</td>
<td>Baseline $I^2 = 0%$ (95% CI = 0 to 58.5%) Pooled risk difference = −0.003 (95% CI = −0.028 to 0.022) Chi² (test risk difference differs from 0) = 0.037 (df=1); $P = 0.85$</td>
</tr>
<tr>
<td>ICU length of stay (n = 723)</td>
<td>Baseline $I^2 = 80.1%$ (95% CI = 62.6% to 87.4) Pooled $d_+ = 0.113$ (95% CI = −0.172 to 0.398) Z (test $d_+$ differs from 0) = 0.775; $P = 0.44$</td>
</tr>
<tr>
<td>Hospital length of stay (n = 940)</td>
<td>Baseline $I^2 = 73.9%$ (95% CI = 48.1 to 83.9%) Pooled $d_+ = 0.212$ (95% CI = −0.035 to 0.46) Z (test $d_+$ differs from 0) = 1.68; $P = 0.09$</td>
</tr>
</tbody>
</table>

ICU = intensive care unit.
settings and comparators analyzed for this meta-analysis, this is not surprising and is a trait that has even been reported even for many Cochrane meta-analyses.

We are also aware that our analysis does not allow the drawing of any conclusions about critically ill patients.

A limitation of any meta-analysis as of ours potentially is sample size and power of the study. Also, not all variables used to assess renal function were available in all the analyzed studies. Furthermore, our findings cannot be extrapolated to the use of hypertonic HES, the use in patients undergoing kidney transplantation even if waxy maize-derived HES is used during the resuscitation of the donors and the recipients.

In summary, our meta-analysis provides evidence that there is currently no verifiable association between the administration of waxy maize-derived HES 130/0.40 and changes of serum creatinine and calculated creatinine clearance or the incidence of ARF in patients undergoing surgical procedures.

References

23. Lehmann G, Marx G, Förster H: Bioequivalence comparison between hydroxethyl starch 130/0.4/4: 1 and hydroxethyl starch 130/0.4/4: 1. Drugs R 2007; 8:229–40
25. Lehmann G, Marx G, Förster H: Bioequivalence comparison between hydroxethyl starch 130/0.4/4: 1 and hydroxethyl starch 130/0.4/4: 1. Drugs R 2007; 8:229–40


41. Ooi JS, Ramzisham AR, Zamrin MD: Is 6% hydroxyethyl starch 130/0.4 safe in coronary artery bypass graft surgery? Asian Cardiovasc Thorac Ann 2009; 17:368–72


47. Ickx BE, Bepperling F, Melot C, Schulman C, Van der Linden PJ: Plasma substitution effects of a new hydroxyethyl starch HES 130/0.4 compared with HES 200/0.5 during and after extended acute normovolaemic haemodilution. Br J Anaesth 2003; 91:196–202


